

Docket No.: P2001,0176

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : JOHANNES BAUR ET AL.

Filed : CONCURRENTLY HERewith

Title : RADIATION-EMITTING SEMICONDUCTOR COMPONENT AND  
METHOD FOR PRODUCING THE SEMICONDUCTOR  
COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,291,839 B1 (Lester), dated September 18, 2001 and  
corresponding German Published Non-Prosecuted Patent Application DE 199 27  
945 A1 (Lester), dated March 23, 2000;

U.S. Patent No. 3,343,026 (Luechinger et al.), dated September 19, 1967;

U.S. Patent No. 5,814,839 (Hosoba), dated September 29, 1998;

U.S. Patent No. 5,087,674 (Gardner et al.), dated February 11, 1992;

U.S. Patent Application Publication No. 2003/0116791 A1 (Baptist et al.), dated June  
26, 2003 and corresponding PCT WO 01/93310 A2 (Baptist et al.), dated December  
6, 2001;

U.S. Patent Application Publication No. 2003/0127654 A1 (Eisert et al.), dated July 10, 2003 and corresponding PCT WO 01/61765 A1 (Eisert et al.), dated August 23, 2001;

U.S. Patent No. 6,229,160 B1 (Krames et al.), dated May 8, 2001 and corresponding German Published Non-Prosecuted Patent Application DE 198 07 758 A1 (Krames et al.), dated December 10, 1998;

German Published Non-Prosecuted Patent Application DE 43 24 325 A1 (Rudigier et al.), dated January 27, 1994 and corresponding U.K. Patent Application GB 2 271 087 A (Rudigier et al.), dated April 6, 1994;

German Published Non-Prosecuted Patent Application DE 42 18 806 A1 (Birkenstock), dated December 9, 1993 and English abstract thereof;

German Published Non-Prosecuted Patent Application DE 27 27 508 A1 (Hähnlein), dated January 4, 1979, Light Emitting Diode with High-Efficiency;

European Patent Application EP 0 611 131 A1 (Watanabe et al.), dated August 17, 1994;

European Patent Application EP 0 405 757 A2 (Haitz), dated January 2, 1991;

European Patent Application EP 0 442 002 A1 (Köck et al.), dated August 21, 1991 and English abstract thereof;

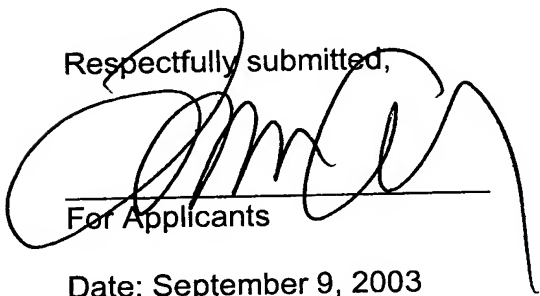
European Patent Application EP 0 810 674 A2 (Motoki et al.), dated December 3, 1997;

Patent Abstracts of Japan 03227078 A (Koji), dated October 8, 1991.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,

LAURENCE A. GREENBERG  
REG. NO. 29,308



For Applicants

Date: September 9, 2003

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| <b>FORM PTO-1449 (SUBSTITUTE)</b><br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE<br/>         STATEMENT BY APPLICANT</b><br>(37 CFR 1.98(b)) |   |              |         | Attorney Docket No.: P2001,0176<br>Appl. No.:<br><br><hr/> Applicant: JOHANNES BAUR ET AL.<br><br><hr/> Filing Date: September 9, 2003<br>Group Art Unit: |       |              |                     |
|  |   |              |         |   |       |              |                     |
| EXAMINER<br>INITIALS   |   | PATENT NO.   | DATE    | PATENTEE  | CLASS | SUB<br>CLASS | FILING<br>DATE      |
|  | A |              |         |   |       |              |                     |
|  | B |              |         |   |       |              |                     |
|  | C |              |         |   |       |              |                     |
|  | D |              |         |   |       |              |                     |
|  | E |              |         |   |       |              |                     |
|  | F |              |         |   |       |              |                     |
|  | G |              |         |   |       |              |                     |
|  | H |              |         |   |       |              |                     |
|  | I |              |         |   |       |              |                     |
| <b>FOREIGN PATENT DOCUMENT</b>   |   |              |         |   |       |              |                     |
|  |   | DOCUMENT NO. | DATE    | COUNTRY   | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
|  | J | 01/93310 A2  | 12/6/01 | WIPO  |       |              |                     |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>  |   |              |         |   |       |              |                     |
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| EXAMINER<br>INITIALS | A | PATENT NO. | DATE | PATENTEE | CLASS | SUB<br>CLASS | FILING<br>DATE |
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|                      | B          | 3,343,026          | 9/19/67  | Luechinger et al. |              |                |
|                      | C          | 5,814,839          | 9/29/98  | Hosoba            |              |                |
|                      | D          | 5,087,674          | 2/11/92  | Gardner et al.    |              |                |
|                      | E          | 2003/0116791<br>A1 | 6/26/03  | Baptist et al.    |              |                |
|                      | F          | 2003/0127654<br>A1 | 7/10/03  | Eisert et al.     |              |                |
|                      | G          | 6,229,160 B1       | 5/8/01   | Krames et al.     |              |                |
|                      | H          |                    |          |                   |              |                |
|                      | I          |                    |          |                   |              |                |

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